

Overall DBE Three-Year Goal Methodology

Name of Recipient: Salt Lake City Department of Airports

Goal Period: FY 2021-23 (10/1/2020 through 9/30/2023)

DOT-assisted contract amounts:

Year 1	\$0
Year 2	\$0
Year 3	\$3,603,783
Totals	\$3,603,783

Overall Three-Year Goal: 8.7%, to be accomplished through 8.7% Race Conscious and 0.0% Race Neutral

Total dollar amount to be expended on DBEs: \$312,954

Describe the Number and Type of Contracts that the airport anticipates awarding:

Contracts in Fiscal Year #3

1. TVY Runway and Taxiway Rehabilitation - \$3,603,783

Market Area

The market areas for Tooele Valley Airport are Salt Lake and Tooele counties. Tooele Valley Airport is in Tooele county which is located adjacent to Salt Lake County. Tooele Valley Airport is operated by Salt Lake City Department of Airports (SLCDA). Similar to SLCDA federally funded construction procurements, historically bids are submitted by firms located in Salt Lake, Utah, Davis counties. This conclusion is based upon firms submitting bids that are tracked under 49 CFR 26.11.

Step 1. Actual relative availability of DBEs

The base figure for the relative availability was calculated as follows:

Method: Use DBE Directories (<https://drive.google.com/file/d/1r6n4o9F14jFEqtINICrFxfiAs9948ho/view>) and Census Bureau Data (<https://data.census.gov/cedsci/>). The data used from the US Census Bureau was based upon NAICS codes for the various work classifications working on the three main types of federally funded construction projects at the three airports operated by SLCDA. These federally funded projects construction projects are Apron Paving, Runway and Taxiway Rehabilitation projects. SLCDA collects solicitation statistics under 49 CFR 26.11 which helps to determine our market area and where

bidders are located. US Census data identifying minority and women owned business is based upon airport construction related NAICS codes within the State of Utah. While working with Misty Slater, Data Dissemination Specialist of the U.S. Census Bureau, it was learned the U.S. Census could not find sufficient data to identify small businesses based upon NAICS codes in the various counties that a majority of bidders are located, based upon the Solicitation Statistics received in all bids. Inquiries by counties or cities cross referenced by construction NAICS codes, could not provide the number of identified small businesses in the counties where the majority of bidders are located. Therefore, the U.S. Census Bureau data is based upon small businesses, by NAICS codes in the State of Utah.

Weighted Availability of DBE firms:

Fiscal Year #1

For 10/1/2020 - 9/30/2021, award of the following is anticipated:

No projects for Year 1

Fiscal Year #2

For 10/1/2021 - 9/30/2022, award of the following is anticipated:

No projects for Year 2

Fiscal Year #3

For 10/1/2022 - 9/30/2023, award of the following is anticipated:

Project Name	Trade Description	NAICS Description	NAICS	Trade (\$)	Directory	Census	DBE (%)	DBE (\$) (= Trade \$ x DBE %)
TVY Runway and Taxiway Rehabilitation	Painting - Striping	Highway, street, and bridge construction	237310	\$275,000	5	29	17.2%	\$47,300
TVY Runway and Taxiway Rehabilitation	Paving - Asphalt	Highway, street, and bridge construction	237310	\$1,792,928	8	115	7.0%	\$125,505
TVY Runway and Taxiway Rehabilitation	Demolition	Other heavy and civil engineering construction	237990	\$207,728	8	99	8.1%	\$16,826
TVY Runway and Taxiway Rehabilitation	Grading	All other specialty trade contractors	238910	\$355,715	25	769	3.3%	\$11,739
TVY Runway and Taxiway Rehabilitation	Saw Cutting, Joint Crack replacement	Other heavy and civil engineering construction	238990	\$33,000	3	35	8.6%	\$2,838
TVY Runway and Taxiway Rehabilitation	Electrical (install)	Electrical contractors	238210	\$902,333	75	922	8.1%	\$73,089

	and other wiring		
TVY Runway and Taxiway Rehabilitation	\$3,566,704	7.8%	\$277,297
Year 1 Total	\$3,566,704	7.8%	\$277,297

The base goal projection after weighting is as follows:

- Total Weighted DBE Availability: **\$277,297**
- Total for All Trades: **\$3,566,704**

Dividing the weighted DBE totals by the total estimate for all trades gives a base DBE availability figure for the projects anticipated during the goal-setting period. This figure is expressed as a percentage and serves as the basis for the three-year overall goal.

Base of DBE Goal: **8.7%**

Not enough historical data on DBE participation is available to reference to make an adjustment to the Step 1 base figure; therefore, Salt Lake City Department of Airports is adopting the Step 1 base figure of **8.7%** as the overall goal for this three-year goal period (FY 2021-23).

Breakout of Estimated "Race and Gender Neutral" (RN) and "Race and Gender Conscious" (RC) Participation

Salt Lake City Department of Airports will meet the maximum feasible portion of the overall goal by using race neutral means of facilitating DBE participation.

1. Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitates DBE, and other small businesses, participation;
2. Providing assistance in overcoming limitations such as inability to obtain bonding or financing, SLCDA is a stakeholder with US DOT West Central Small Business Transportation Center. We regularly sponsor the Bonding Education Program for small businesses ;
3. Providing technical assistance and other services. SLCDA contracts with a local community organization to provide free business resource services to DBE firms;
4. Carrying out information and communications programs on contracting procedures and specific contract opportunities;
5. Implementing a supportive services program to develop and improve immediate and long-term business management, record keeping, and financial and accounting capability for DBEs and other small businesses through the SBTRC Bonding Education Program;
6. Ensuring distribution of DBE directory, through print and electronic means, to the widest feasible universe of potential prime contractors;
7. Assist DBEs and other small businesses, to develop their capability to utilize emerging technology and conduct business through electronic media.

The Salt Lake City Department of Airports estimates that in meeting the established overall goal of 8.7%, it will obtain 0.0% from RN participation and 8.7% through race conscious measures.

SLCDA will hold an outreach meeting to inform DBE firms and potential prime contractors to present details about this upcoming construction project. During this meeting, SLCDA will encourage networking between the DBE attendees and potential prime contractors to share company capacity statements, expectations of goods and services provided. Information regarding this upcoming federally funded construction project was presented at the Outreach 21 event held August 20, 2021.

Projects at Tooele Valley Airport do not have a sufficient history of DBE participation or over-achievement of goals to reference. SLCDA expects to obtain its DBE participation using DBE contract goals or a conscious effort to obtain DBE participation. Therefore, the entire goal of 8.7% is to be obtained through race-conscious participation.

Salt Lake City Department of Airports will adjust the estimated breakout of race neutral and race conscious DBE participation as needed to reflect actual DBE participation (see §26.51(f)) and track and report race neutral and race conscious participation separately. For reporting purposes, RN DBE participation includes, but is not necessarily limited to the following: DBE participation through a prime contract obtained through customary competitive procurement procedures; DBE participation through a subcontract on a prime contract that does not carry a DBE goal, DBE participation on a prime contract exceeding a contract goal, and DBE participation through a subcontract from a prime contractor that did not consider a firm's DBE status in making the award.

Consultation

In establishing the overall goal, Salt Lake City Department of Airports provided for consultation and publication. This process included consultation with minority, women's, and general contractor groups, community organizations, and other officials or organizations which could be expected to have information concerning the availability of disadvantaged and non-disadvantaged businesses, the effects of discrimination on opportunities for DBEs, and the Salt Lake City Department of Airports's efforts to establish a level playing field for the participation of DBEs. The consultation included a scheduled, direct, interactive exchange with as many interested stakeholders as possible focused on obtaining information relevant to the goal setting process, and was conducted before the goal methodology was submitted to the operating administration for review. Details of the consultation are as follows.

The consultation engaged in was a video conference on Monday July 31, 2023 at 3:30pm. SLCDA did not receive any participation on this video call request. This document is a correctio to the original submitted goal.

A notice of the proposed goal was published on the Salt Lake City Department of Airports official website before the methodology was submitted to Federal Aviation Administration.

Following the consultation, a notice was published on the SLCDA website regarding the proposed overall goal, informing the public that the proposed goal and its rationale are available for inspection during normal business hours at the Salt Lake City Department of Airports offices for 30 days following the date of the notice, and informing the public that the Salt Lake City Department of Airports will accept comments on the goals for 30 days from the date of the notice.

If the proposed goal changes following review by Federal Aviation Administration, the revised goal will be posted on Salt Lake City Department of Airports official website.

Notwithstanding paragraph (f)(4) of §26.45, Salt Lake City Department of Airports proposed goals will not be implemented until this requirement has been met.

Sample Public Notice Language

PUBLIC NOTICE

Salt Lake City Department of Airports hereby announces its proposed Disadvantaged Business Enterprise (DBE) participation goal of 8.7% for FAA-funded contracts/agreements. The proposed goal pertains to federal fiscal years 2021 through 2023 (10/1/2020 - 9/30/2023). A video conference will be held on July 31, 2023, at 3pm for the purpose of consulting with stakeholders to obtain information relevant to the goal-setting process.

The proposed goal and its attendant methodology are available for inspection between

Comments on the DBE goal will be accepted for 30 days from the date of this publication and can be sent to the following:

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OR

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